



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/553,602	10/18/2005	Masaya Kato	ASAIN0169	6018

113 7590 09/04/2008  
GRIFFIN BUTLER WHISENHUNT & SZIPL LLP  
SUITE PH-1  
2300 NINTH STREET SOUTH  
ARLINGTON, VA 222042396

EXAMINER
----------

JANAKIRAMAN, NITHYA

ART UNIT	PAPER NUMBER
----------	--------------

2123

MAIL DATE	DELIVERY MODE
-----------	---------------

09/04/2008

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/553,602	<b>Applicant(s)</b> KATO ET AL.	
	<b>Examiner</b> NITHYA JANAKIRAMAN	<b>Art Unit</b> 2123	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 18 October 2005.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-7 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1, 3, 5 and 7 is/are rejected.
- 7) ☒ Claim(s) 2, 4, 6 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 18 October 2005 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)            | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>4/13/06, 1/16/07</u> .  | 6) <input type="checkbox"/> Other: _____                          |

### **DETAILED ACTION**

This action is in response to the submission filed on 10/18/2005, with foreign priority date 5/9/2003. Claims 1-7 are presented for examination.

#### ***Information Disclosure Statement***

1. The information disclosure statements filed 4/13/06 and 1/16/2007 fails to comply with the provisions of 37 CFR 1.97, 1.98 and MPEP § 609 because numerous references lack a date, and have thus been “lined out”. It has been placed in the application file, but the information referred to therein has not been considered as to the merits. Applicant is advised that the date of any re-submission of any item of information contained in this information disclosure statement or the submission of any missing element(s) will be the date of submission for purposes of determining compliance with the requirements based on the time of filing the statement, including all certification requirements for statements under 37 CFR 1.97(e). See MPEP § 609.05(a).

#### ***Claim Rejections - 35 USC § 101***

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

2. Claim 7 is rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. Claim 7 recites a program for performing various steps. Programs are not sufficient to be considered statutory subject matter. Although the claim states that the program uses a computer, the claim itself is directed towards the program.

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

4. Claims 1, 3, 5 and 7 are rejected under 35 U.S.C. 103(a) as being unpatentable over “Tetrahedral Finite Element Mesh Generation from NURBS Solid Models” (“Gürsoy”) in view of “Dual Contouring of Hermite Data” (“Ju”).

5. Gürsoy discloses octree division of data and cell classification. However, Gürsoy does not disclose simulation of cell data. Ju discloses simulating texturing a portion of the contours of the model.

6. Gürsoy and Ju are analogous art because they are both related to the field of mesh models.

Art Unit: 2123

7. Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to combine the simulation of Ju with the octree division and cell classification of Gürsoy, motivated by the desire to use a "numerically stable representation" (Ju, Introduction).

8. Regarding claims 1 and 7, Gürsoy and Ju teach:

A method for labeling multi material data, for a sequence of processing steps using a computer (*Gürsoy: Intro, "discretization of solid models", "computer-aided engineering"*), the steps including acquisition of external data (12) (*Gürsoy: page 213, "mesh nodes that are input"*), storage of cell data through octree division of the external data (*Gürsoy: page 216, column 1, "octree structure is subdivided"*), and simulation using the cell data (*Ju: page 343, column 1, "simulate texturing a portion of the contour"*), the method comprising:

an external data acquisition step (S1) of acquiring the external data (12) composed of boundary data and physical property values of an object (1) (*Gürsoy: page 216, section 3.2, "input boundary nodes"*);

an external data input step (A) of inputting the external data (12) into a computer (*Gürsoy: page 216, section 3.2, "input boundary nodes"*);

a cell division step (B) of dividing the external data into rectangular solid cells (13) (*Gürsoy: Figure 5, "Subdivisions of regular and irregular tetrahedra"*) having boundary planes orthogonal to each other (*Gürsoy: page 214, column 2, "cell is of type boundary"*);

a cell classification step (C) of classifying each of the divided cells into a boundary cell (13a) including the boundary data, and a non-boundary cell (13b) not including the boundary data (*Gürsoy: page 214, column 2, "cells are classified with respect to the interior of the surface triangulation. If a terminal cell contains a surface node or intersects some of the surface triangles then the cell is of type boundary. Non-boundary terminal cells are of type either in or out"*);

a space classification step (D) of classifying the vertices of each cell into multiple spaces partitioned by the boundary data (*Gürsoy: page 223, "An edge is defined in terms of two vertices and it points to one of the adjacent facets"*);

a simulation step (S3) of performing a simulation using the physical property values for each cell (*Ju: page 343, column 1, "simulate texturing a portion of the contour"*); and

an output step (S4) of outputting simulation results (*Ju: page 343, column 1, "simulate texturing a portion of the contour"*),

Art Unit: 2123

wherein the cell classification step (C) comprises the steps of: further classifying each of the boundary cells (13a) into a first type cell and a second type cell, the first type cell having a cutting point at which an edge line or vertex is cut by the boundary data, the second type cell having a cutting point that lies on a boundary with another cell of different hierarchy, and the second type cell being larger than the another cell (*Gürsoy: Figure 4, "Figure 4 illustrates this process. A polygon and its spatial decomposition can be used as a two dimensional analogue of the polytree representation. In this example, cell  $c_o$  is out, cell  $c_b$  is boundary and cell  $c_i$  is in. A point  $P$  contained in a boundary cell such as  $c_b$  is classified by means of only those line segments (triangles in 3D space) that intersect the cell  $c_b$ "*); and

assigning a material number to each cell vertex (*Gürsoy: page 216, "number of all terminal tetrahedra"*).

9. Regarding claim 3,

A method for labeling multi material data according to claim 2, wherein the boundary cell setting step (D2) comprises a step of assigning a vertex matching the boundary data either of the space numbers of two neighboring non-boundary cells (*Gürsoy: page 223, "An edge is defined in terms of two vertices, and it points to one of the adjacent facets"*).

10. Regarding claim 5

A method for labeling multi material data according to claim 1, wherein the division step (B) comprises a step of dividing voxel data into rectangular solid cells (13) of the same size (*Gürsoy: Figure 1 depicts rectangular (square) cells*).

#### ***Allowable Subject Matter***

11. Claims 2, 4 and 6 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

***Additional Pertinent Art***

12. The prior art made of record and not relied upon is considered pertinent to applicants disclosure.

- US 2003/0028090: A method supplies a dynamic vector map of properties within a region or a unified suite of quantification functionality for property functions, such as density functions, conduction functions, defined on, defined in or defining a three-dimensional space, which functions may optionally vary in time.
- US 6,529,192: A mesh generator and process based on a volumetric representation is disclosed. According to one aspect of the present invention, a 3D region of an object is generated by a space carving process and represented by volumetric cells encoded in a tree structure, such as an octree tree. Given the 3D region, the mesh process generates a mesh of elements covering the entire 3D region and intersecting with each other either not at all or at common boundary faces.
- US 7,388,584: A method for determining insides and outsides of boundaries includes an external data input step of inputting external data constituted by boundary data of objects, a cell division step of dividing the external data into rectangular parallelepiped cells having boundary planes orthogonal to each other, a cell classification step of classifying the cells into a boundary cell that includes the boundary data and a non-boundary cell that does not include the boundary data, and a space classification step of classifying the non-boundary cells into a plurality of spaces that are partitioned by the boundary cells.

***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to NITHYA JANAKIRAMAN whose telephone number is (571)270-1003. The examiner can normally be reached on Monday-Thursday, 8:00am-5:00pm, EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Paul Rodriguez can be reached on (571)272-3753. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2123

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Nithya Janakiraman/  
Examiner, Art Unit 2123

/Paul L Rodriguez/  
Supervisory Patent Examiner,  
Art Unit 2123